



STOCKCROSS

FINANCIAL SERVICES

Member NYSE • SIPC • Established 1973

Corporate Account Requirements

- A completed StockCross application signed by the officer authorized by the Corporate Resolution.
- A Taxpayer ID number.
- A Corporate Resolution signed by the authorized officers and bearing a raised seal.
- Corporate accounts are not approved to trade on a margin basis.
- It is StockCross policy for corporate accounts to hold securities in “streetname”.

Corporate stock cannot be sold until it is transferred.

Stock registered in corporate name must go through transfer before it can be sold. There is a \$25.00 fee to transfer corporate stock. We may ask for a recently executed corporate resolution for the transfer.

Investments Club & Partnership Requirements

- Appointment of Managing Partner(s), on StockCross’ form or equivalent.
- A completed StockCross account application, signed by the managing partner(s), including
 1. the partnership’s Tax I.D. number.
 2. a bank reference for the partnership, including name, address and account number of the bank.

All Checks deposited into a StockCross Partnership/Investment Club Account must be drawn on the Partnership’s checking account. Partner’s/Club Members’ personal checks are not acceptable.

Any checks remitted from a StockCross Partnership/Investment Club Account will be in the name of the Partnership/Investment Club - never in an individual’s name.

If there is a change of persons authorized to trade on the partnership, a new Appointment of Managing Partner(s) form must be submitted.

Custodian Account Requirements

- A completed StockCross Application, signed by the custodian.
- The Social Security number and date of birth of the MINOR should be used. NOT the custodian’s. All other information on the application should be provided by and pertaining to the custodian.
- The custodian must be 18 year of age or older.
- Custodian accounts are not approved to trade on a margin basis.

Custodian accounts are not approved to trade put and call options, EXCEPT for writing covered calls.